



Missing parts notice  
May 4, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
09/027,821	02/23/98	CHASTAIN	S 970725.ORI
		0392/0504	NOT ASSIGNED
THOMAS T NIKOLAI HAUGEN & NIKOLAI INTERNATIONAL CENTERE 900 SECOND AVENUE SOUTH SUITE 820 MINNEAPOLIS MN 55402-3325		3735	
		DATE MAILED:	05/04/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required item's and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.00.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

**BEST AVAILABLE COPY**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



*Sector #3*

PATENT APPLICATION

OUR FILE NO. 970725.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Stuart R. Chastain, et al.  
S.N. : 09/027,821 : May 15, 1998  
Filed : February 23, 1998  
For : INTRAVENOUS CARDIAC LEAD WITH  
POSITIVE FIXATION SEGMENT

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TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted bearing a date mailed of May 4, 1998 (copy attached), submitted herewith please find an executed Supplemental Declaration, Power of Attorney and Petition form.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge for a large entity for the late filing of the Declaration.

The Commissioner is authorized to charge any additional fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

Respectfully submitted,

HAUGEN AND NIKOLAI, P.A.

  
Thomas J. Nikolai

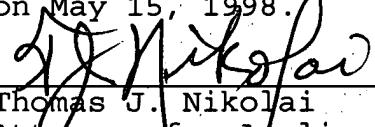
Reg. #19283

Attorneys for Applicants  
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900 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-7461

Encs.

CERTIFICATE OF MAILING

I hereby certify that the foregoing Transmittal of Missing Parts of Application in application Serial No. 09/027,821 filed February 23, 1998 of Stuart R. Chastain, et al., and entitled INTRAVENOUS CARDIAC LEAD WITH POSITIVE FIXATION SEGMENT and attached executed Supplemental Declaration, a copy of the Notice to File Missing Parts of Application and a check in the amount of \$130.00 are being deposited with the United States Postal Service as First Class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D. C. 20231 on May 15, 1998.

  
Thomas J. Nikolai  
Attorney for Applicant

Date of Signature: May 15, 1998